

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of NISHIDA et al.

Atty Docket. No. 46244

International Appln. PCT/JP03/14210

Group Art Unit: Not Assigned

Confirmation No. Not Assigned

This Appln. Filed: May 6, 2005

Examiner: Not Assigned

Title: METHOD FOR PRODUCING FERMENTED BEVERAGES

\* \* \* \*

May 6, 2005

# **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The applicants hereby submits the attached PTO Form 1449 and copies of documents listed thereon which are disclosed in the specification of the subject application. This Information Disclosure Statement (IDS) is submitted along with the filing of the subject application and is thus filed before the issue by the PTO of any substantive Office Action in this application. **Accordingly, no additional IDS filing fee is required.** 

Please note that the documents listed on the PTO Form 1449 as have an English language Abstract submitted herewith and listed document has an English language Abstract and a copy of English language counterpart EP 523333 A1.

Additionally, please note that listed document is also listed in the International Search Report (ISR) which issued in the subject PCT application and which ISR has been submitted with the filing of the present U.S. application.

The applicants provide the following comments regarding the cited documents:

# 1. Japanese Patent Public Disclosure No. Sho 58-155075

This reference shows a method for preventing the development of off-flavors.

However, since this reference teaches that the addition of yeast extract or the like allows reduction of a must smell in must wine, the invention of the reference is different from the present invention which relates to a method for producing fermented beverages at least through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

# 2. Japanese Patent Public Disclosure No. Hei 07-303457

This reference shows a method for preventing the development of off-flavors. However, since this reference teaches the use of a yeast strain modified to carry a structural gene(s) in preventing the generation of hydrogen sulfide in beers, the invention of the reference is different from the present invention which relates to a method for producing fermented beverages at least through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

# 3. Japanese Patent Public Disclosure No. Hei 10-57044

This reference shows a method for controlling aroma.

However, since this reference teaches that the levels of malic acid and succinic acid are controlled by adjusting the fermentation temperature or the amount of yeast added, the invention of the reference is at least different from the present invention which relates to a method for producing fermented beverages through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine

concentration or the FAN level in wort is adjusted to prevent the development of offflavors.

# 4. Japanese Patent Public Disclosure No. He! 10-52251

This reference shows a method for controlling aroma.

However, since this reference teaches that the levels of malic acid and succinic acid are controlled by controlling the level of free amino nitrogen generated in wort, the invention of the reference is at least different from the present invention which relates to a method for producing fermented beverages through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

### 5. Japanese Patent Public Disclosure No. Sho 61-58573

This reference shows a method for preventing the development of off-flavors. However, since this reference teaches that diacetyl level can be reduced by avoiding introducing excess yeasts at a second stage of a two-stage fermentation process, the invention of the reference is at least different from the present invention which relates to a method for producing fermented beverages through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

#### 6. Beer and Coolers

This reference describes methods for producing low-alcohol beers.

# 7. MBAA TECHNICAL QUARTERLY Vol.17, No4,1980

This reference describes a method for producing low-alcohol beer by using yeast incapable of fermenting maltose.

### 8. Abriss der Bierbrauerei

This reference describes a method for producing low-alcohol beer by stopping the fermentation by cooling at the standard fermentation process.

However, a person of ordinary skill in the art would find it difficult to believe that these reports provide adequate discussion on the prevention of off-flavor development.

# 9. Japanese Patent Public Disclosure No. Hei 5-68528

This reference describes a method for producing low-alcohol beer by the addition of a-glucosidase in the mashing step, whereby fermentable sugars in a saccharified solution are converted into non-fermentable sugars.

However, a person of ordinary skill in the art would find it difficult to believe that these reports provide adequate discussion on the prevention of off-flavor development.

#### 10. European Brewery Convention, Proceeding of the 19th Congress, 1983

This reference describes a method for producing low-alcohol beer by making a short contact between wort and yeast.

However, this approach at least suffers from the problem of a residual wort smell.

#### 11. UK patent 2033424, 1980

This reference describes a method for producing low-alcohol beer by mixing normal beer with low-alcohol beer prepared from wort at low extract concentration

# 12. Japanese Patent Public Disclosure No. 2002-291465

This reference shows a method for preventing the development of off-flavors at a fermentation stopping process.

However, since this reference teaches the use of mutated yeast strains to control a diacetyl smell in Japanese rice wines of low-alcohol concentration, the invention of the reference is different from the present invention which relates to a method for producing fermented beverages at least through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

### 13. Metabolic Maps

This reference is referred to show that hydrogen sulfide generated during fermentation is closely related to yeast metabolism in sulfur-containing amino acid production.

# 14. MBAA TECHNICAL QUARTERLY Vol. 21, 1984

This reference is referred to show that T-VDK production is affected by amino acid concentration in fermenting wort.

This IDS is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

NISHIDA et al. – New Appln. filed May 6, 2005
This paper filed on by 6, 2005

JC06 Rec'd PS/PTO 06 MAY 2005

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Entry of this IDS and favorable consideration of this application are respectfully requested.

Respectfully submitted,

MANELLI DENISON & SELTER, PLLC

Paul E. White, Jr.

Reg. No. 32,011

Tel. No.: (202) 261-1050 Fax No.: (202) 887-0336

2000 M Street, N.W. Seventh Floor Washington, D.C. 20036-3307 (202) 261-1000

# JC06 Rec'd PCT/PTO 06 MAY 2005

	í				PTO/SB/08A (Modified)		
Substitute	for form 1449A/PTO			Complete if Known 7 1, 1 0 1			
INIE	ORMATION	יוח	SCI OSIIPE	Application Number	Not Yet Assigned		
	ATEMENT B			Filing Date	May 6, 2005		
				First Named Inventor	NISHIDA et al.		
(use as	many sheets as ne	cessa	ary)	Group Art Unit	Not Yet Assigned		
Date Th	nis Paper Filed : M	1ay 6	5, 2005	Examiner Name	Not Yet Assigned		
Sheet	1	of	2	Attorney Docket Number	46244		

#### U.S. PATENT DOCUMENTS Date of Publication of Pages, Columns, Lines, Where Name of Patentee or Cite U.S. Patent Document Examin Applicant Cited Document Relevant No. Initials\* of Cited Document MM-DD-YYYY Passages or Relevant Figures Appln. Filing Date Number Appear ΔR RR CR DR FR

#### FOREIGN PATENT DOCUMENTS

Examin er Initials*	Cite No.	Inventor/Applicant Name	Document Number	Country	Date of Publication of Cited Document MM-DD-YYYY	English Abstract Encl No	Counterpart U.S. Appln. No.
	FR	Sannoro Breweries	Sho 58-155075	JAPAN	Sentember 14 1983	YES	
	GR	Kumamoto Seifun KK	Hei 07-303457	JAPAN	November 21 1995	YES	
	HR	Sapporo Breweries	Hei 10-57044	JAPAN	March 3 1998	YFS	
	IR	Sapporo Breweries	Hei 10-52251	JAPAN	February 24_1998	YES	
	JIR	Kirin Brewery Co. Ltd.	Sho 61-058573	JAPAN	March 25, 1986	YFS	
	KR	Sannoro Breweries	Hei 5-68528	.IAPAN	March 23 1993	YES	

# OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

LR	Moll, M. "Beers & Coolers- Definition Manufacture Composition", Intercept, Ltd.	L	
MR	Brenner, M.W., "Beers fro the Future" MBAA Technical Quarterly, Vol. 17, No. 4, pp 185-195, 1980		
NR	Abriss der Bierbrauerel, Verschiedene obergarige Biere und ihre Herstellung, p369.		
OR	Schur, F. "A New Method of Producing Alcohol-Free Beer", European Brewery Convention, Proceeding of the 19 <sup>th</sup> Congress, 1983		
PR	Metabolic Maps	]	
 QR	Nakatani, K., et al. "Kinetic Study of VicinalDiketones" MBAA Technical Quarterly, Vol. 21, No.2 1984		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

# JC06 Rec'd PCT/PTO 06 MAY 2005

					PTO/SB/08A (Modified)		
Substitute	e for form 1449A/PTO			Complete if Known			
INI	FORMATION	יום ו	SCI OSLIDE	Application Number	Not Yet Assigned		
	ATEMENT E			Filing Date	May 6, 2005		
				First Named Inventor	NISHIDA et al.		
(use as	many sheets as ne	cess	ary)	Group Art Unit	Not Yet Assigned		
Date T	his Paper Filed: N	Лау б	5, 2005	Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	46244		
		1					

Examin	Cite	U.S.	Patent Document	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
er Initials*	No.	Number	Appln. Filing Date	Applicant of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear
	AS					
	RS					
	CS					
	DS.					
	FS					

#### FOREIGN PATENT DOCUMENTS

Examin er Initials*	Cite No.	Inventor/Applicant Name	Document Number	Country	Date of Publication of Cited Document MM-DD-YYYY	English Abstract Encl No	Counterpart U.S. Appln. No.
	FS	Sannoro Breweries Ltd	FP 0 523 333	FUROPE	.lanuary 20 1993	YES	
	GS	Rarrell G W	GR 2 033 424	Britain	May 21 1980		
	HS	Mivagi-Ken Mivagiken Shuzo	Hei 2002-291465	.IAPAN	October 8, 2002	YFS	
	IS_						
	JIS						
	KS						l

# OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

	s	
N	is	
N	S	
C	os l	
F	s	
C	os l	

Examiner Signature Date Considered	
------------------------------------	--

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

# JC06 Rec'd PCT/PTO 06 MAY 2005

	\$				PTO/SB/08A (Modified)		
Substitute	e for form 1449A/PTO			Complete if Known			
INII	FORMATION	וחו	SCI OSIIDE	Application Number	Notifet Assigned U4		
	ATEMENT E			Filing Date	May 6, 2005		
				First Named Inventor	NISHIDA et al.		
(use as	many sheets as ne	cess	ary)	Group Art Unit	Not Yet Assigned		
Date This Paper Filed: May 6, 2005				Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	46244		
			•				

U.S. P	ATEN	IT DOCUME	NTS			
Examin	Cite No.	U.S. I	Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where
er Initials*		Number	Appln. Filing Date			Relevant Passages or Relevant Figures Appear
	ΔR					
	BR					
	CR					
	DR					
	FR				<u></u>	

#### FOREIGN PATENT DOCUMENTS

Examin er Initials*	Cite No.	Inventor/Applicant Name	Document Number	Country	Date of Publication of Cited Document MM-DD-YYYY	English Abstract Encl No	Counterpart U.S. Appln. No.
	FR	Takeda Chemical Industries	.IP 43 13670	JAPAN	.lune 10 1968		
	GR	Kirin Reer Kahushiki Kaisha	FP 0160442	FUROPE	November 6 1985		
	HR	Kvowa Hakko Kogvo Co I td.	JP 10 179131	JAPAN	July 7 1998		
	IR	Kirin Beer Kabushiki Kaisha	EP 228009	FUROPE	July 8 1987		
	.IR	Kirin Beer Kabushiki Kaisha	FP 339532	FUROPE	November 2 1989		
	KR	Kirin Reer Kahushiki Kaisha	FP 160442	FUROPE	November 6 1985		

#### OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

LR	
MR	
NR	
OR	
PR	
QR	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.